

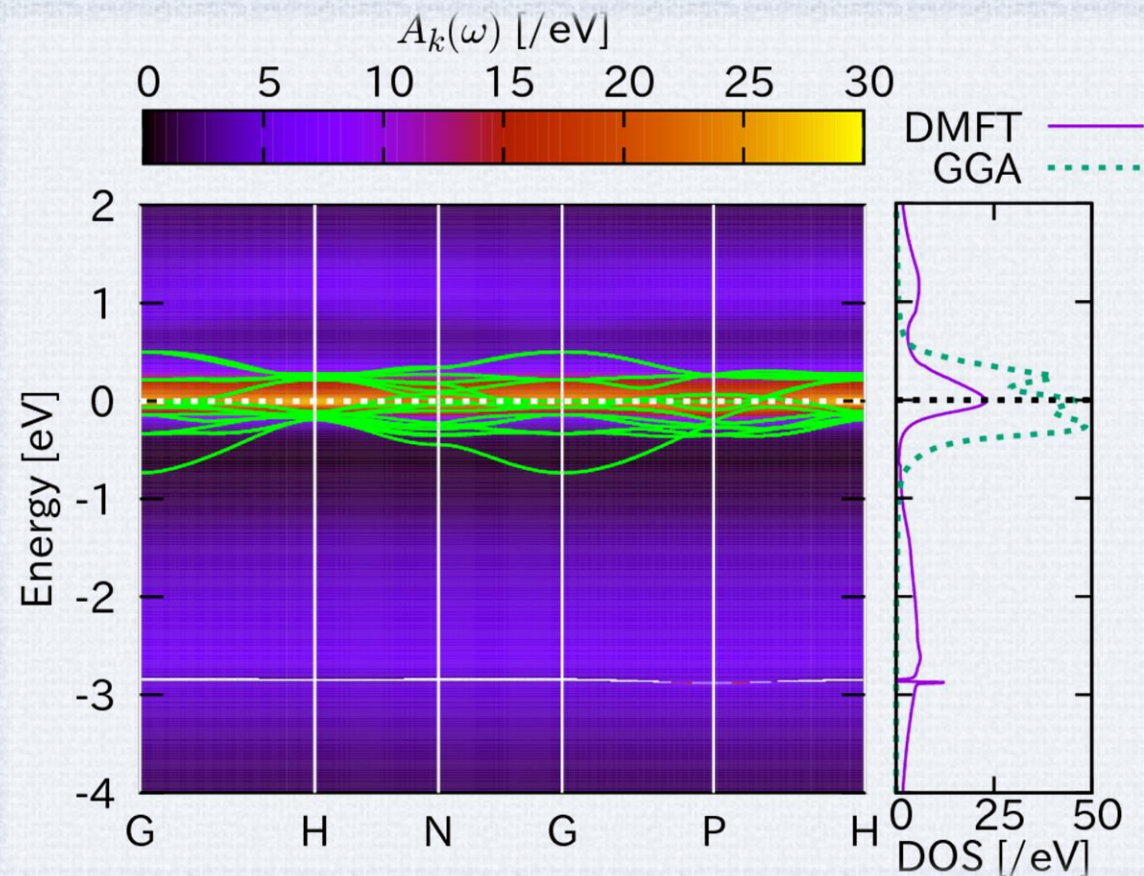
DFT+DMFT with DCore

Materials Design and Characterization Laboratory

The Institute for Solid State Physics

Ozaki group

Mitsuaki Kawamura



2018/7/30
DCore 講習会

Contents

- Motivation
- Theory
 - Dynamical mean field theory (DMFT)
 - Impurity solver
 - How to obtain interaction
 - (No) charge self consistency
- Tutorial
 1. DFT calculation of charge density
 2. Band structure calculation
 3. Non-SCF calculation for Wannierization
 4. Wannierization
 5. Dielectric function
 6. Effective interaction
 7. DMFT calculation
 8. DMFT post-process
- Summary

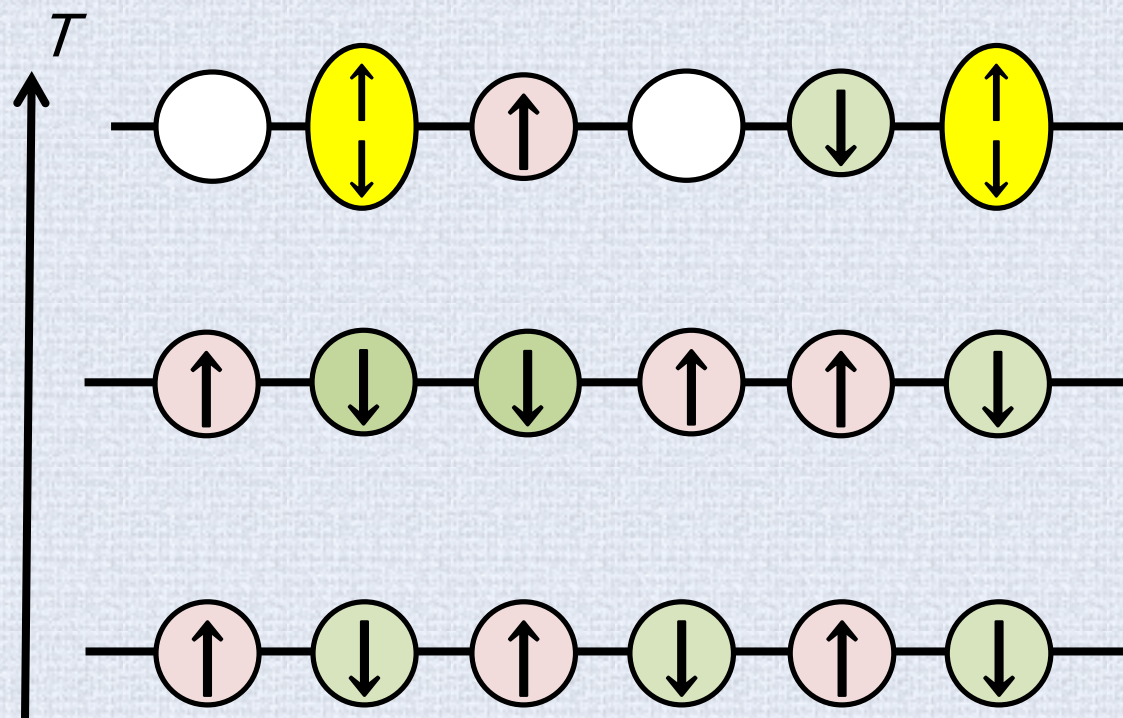
Motivation

Strongly correlated electrons – Field of exotic phenomena –

- High T_C superconductivity
- Quantum spin liquid
- Permanent magnet
- Multiferroicity
- Etc.

$$H = \sum_{RR'\sigma\alpha\beta} t_{RR'\alpha\beta} \hat{c}_{\sigma R\alpha}^\dagger \hat{c}_{\sigma R'\beta} + \frac{1}{2} \sum_{\sigma\sigma'} \sum_{\alpha\beta\gamma\delta} U_{\alpha\beta\gamma\delta} \hat{c}_{\sigma R\alpha}^\dagger \hat{c}_{\sigma'R\beta}^\dagger \hat{c}_{\sigma'R\gamma} \hat{c}_{\sigma R\delta}$$

Mott insulator

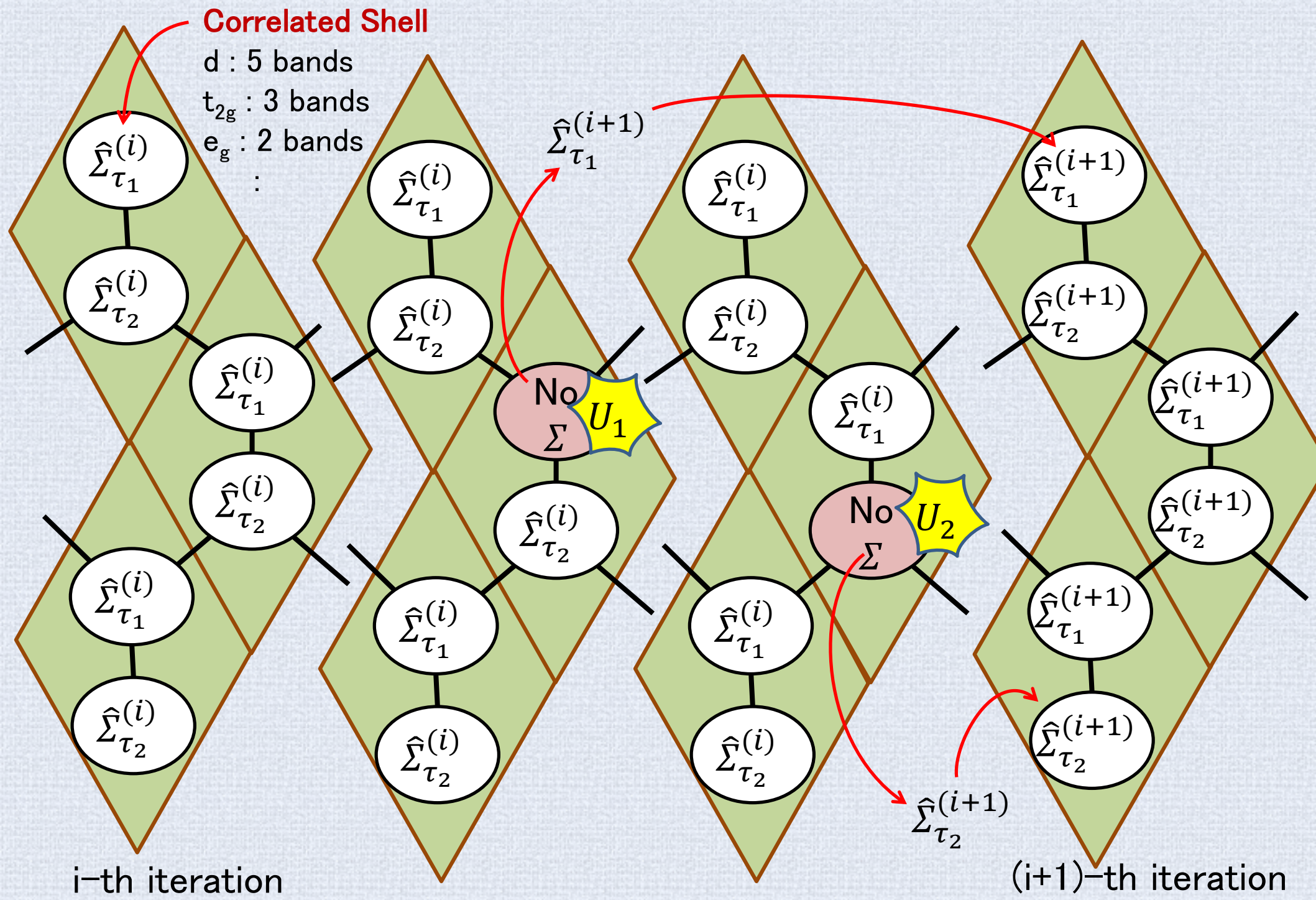


DFT+U

Vladimir I. Anisimov, *et al.* PRB **44**, 943 (1991).

cannot reproduce
insulators without
magnetic/charge order.

DMFT for multi-orbital & multi-atom



DMFT loop

$$\hat{G}_k(i\omega) = \left(i\omega + \mu - \hat{H}_k^{\text{KS}} - \sum_{\tau}^{N_{\tau}} \{ \hat{\Sigma}_{\tau}(i\omega) - \hat{\Sigma}_{\tau}^{\text{DC}} \} \right)^{-1}$$

$$\hat{G}_{\tau}(i\omega) = \sum_k \hat{G}_k(i\omega)$$

$$\hat{G}_{\tau}^0(i\omega) = [\hat{G}_{\tau}^{-1}(i\omega) + \hat{\Sigma}_{\tau}(i\omega)]^{-1}$$

Impurity solver :
 $\hat{G}_{\tau}^0(i\omega)$ and $H_{\text{int}} \rightarrow \hat{\Sigma}_{\tau}(i\omega)$

Double counting part (Estimate with Hartree-Fock)

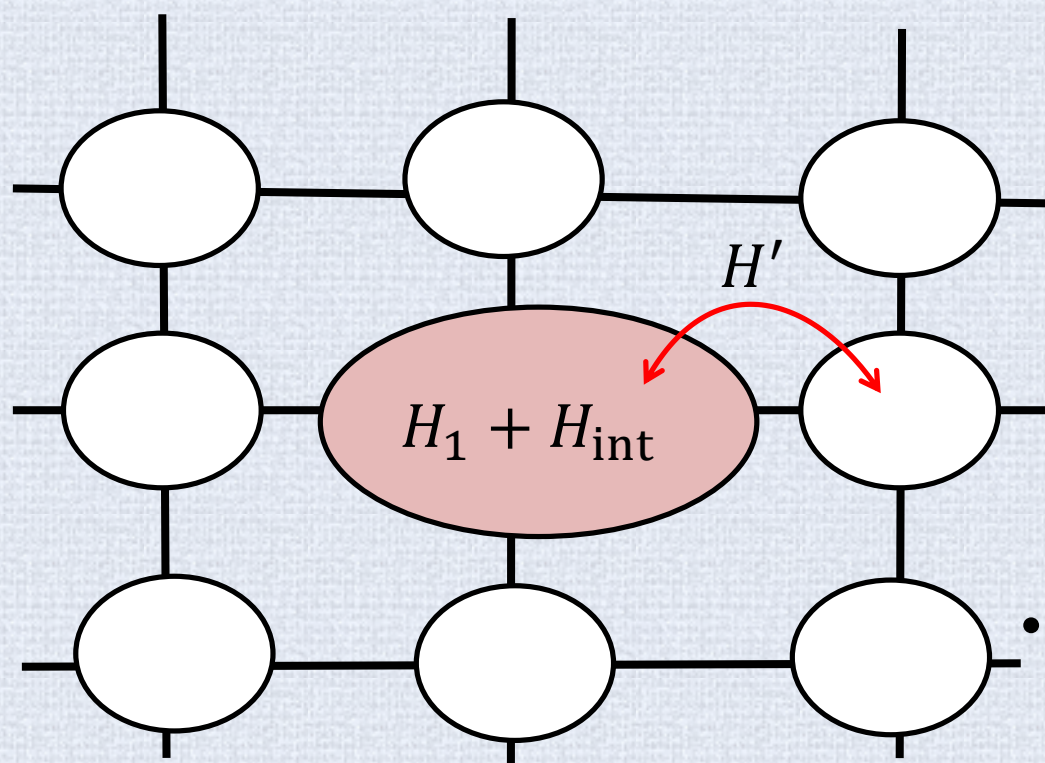
$$\Sigma_{\tau, \sigma \alpha \sigma' \beta}^{\text{DC}} = \delta_{\sigma \sigma'} \sum_{\gamma \delta \sigma_1} U_{\alpha \gamma \beta \delta} \langle c_{\gamma \sigma}^{\dagger} c_{\delta \sigma_1} \rangle_{\text{KS}} - \sum_{\gamma \delta} U_{\alpha \gamma \delta \beta} \langle c_{\gamma \sigma'}^{\dagger} c_{\delta \sigma} \rangle_{\text{KS}}$$

Impurity solver

Continuous-time Quantum Monte-Carlo method with Hybridization expansion

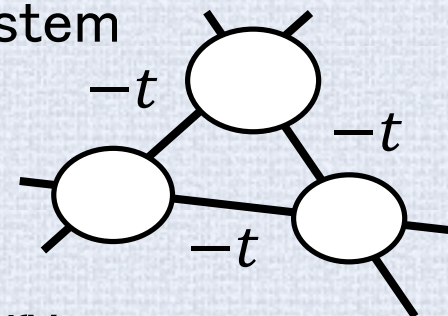
P. Werner, *et al.*, PRL **97**, 076405 (2006).

$$Z = 1 + \sum_{n=1}^{\infty} \frac{(-1)^n}{n!} \int d\tau_1 \cdots \int d\tau_n \langle P[H'(\tau_1) \cdots H'(\tau_n)] \rangle$$



$$G^0 \rightarrow H_1, H'$$

- Exact excepting Monte-Carlo error
- Negative-sign problem for multi band system



• CT-hyb QMC library

- TRIQSP.

Seth, *et al.*, CPC **200**, 274 (2016).

- ALPSCore

H. Shinaoka et al., CPC **215**, 128 (2017).

Interaction

Simplify

$$H_{int} = \frac{1}{2} \sum_{\sigma\sigma'} \sum_{\alpha\beta\gamma\delta} U_{\alpha\beta\gamma\delta} \hat{c}_{\sigma\alpha}^\dagger \hat{c}_{\sigma'\beta}^\dagger \hat{c}_{\sigma'\gamma} \hat{c}_{\sigma\delta}$$

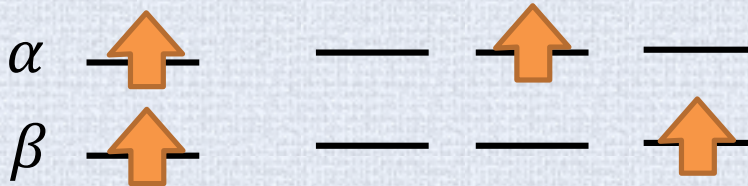
$$U_{\alpha\beta} \equiv U_{\alpha\beta\beta\alpha}$$

$$J_{\alpha\beta} \equiv U_{\alpha\beta\alpha\beta} = U_{\alpha\alpha\beta\beta}$$

- **Constrained RPA (cRPA)** F. Aryasetiawan, *et al.*, PRB 70, 195104 (2004).

$$U_{\alpha\beta\gamma\delta} = \int d^3r d^3r' V_{\text{cRPA}}(r, r') w_\alpha^*(r) w_\beta^*(r') w_\gamma(r') w_\delta(r)$$

- **Constrained DFT** M. Cococcioni and S. Gironcoli, PRB 71, 035105 (2005). Etc.



$$U_{\alpha\beta} - J_{\alpha\beta} = E_{\alpha\uparrow, \beta\uparrow} + E_0 - E_{\alpha\uparrow} - E_{\beta\uparrow}$$



$$U_{\alpha\beta} = E_{\alpha\uparrow, \beta\downarrow} + E_0 - E_{\alpha\uparrow} - E_{\beta\downarrow}$$

- **Model-mapping RPA** H. Sakakibara, *et al.*, JPSJ 86, 044714 (2017).

$$\text{Solve } U_{\alpha\beta}^{\text{model-RPA}}[U_{\alpha\beta}, J_{\alpha\beta}] = U_{\alpha\beta}^{\text{original-RPA}}$$

$$J_{\alpha\beta}^{\text{model-RPA}}[U_{\alpha\beta}, J_{\alpha\beta}] = J_{\alpha\beta}^{\text{original-RPA}} \quad \text{w.r.t. } U_{\alpha\beta}, J_{\alpha\beta}$$

Calculation steps

- Charge self-consistent method :

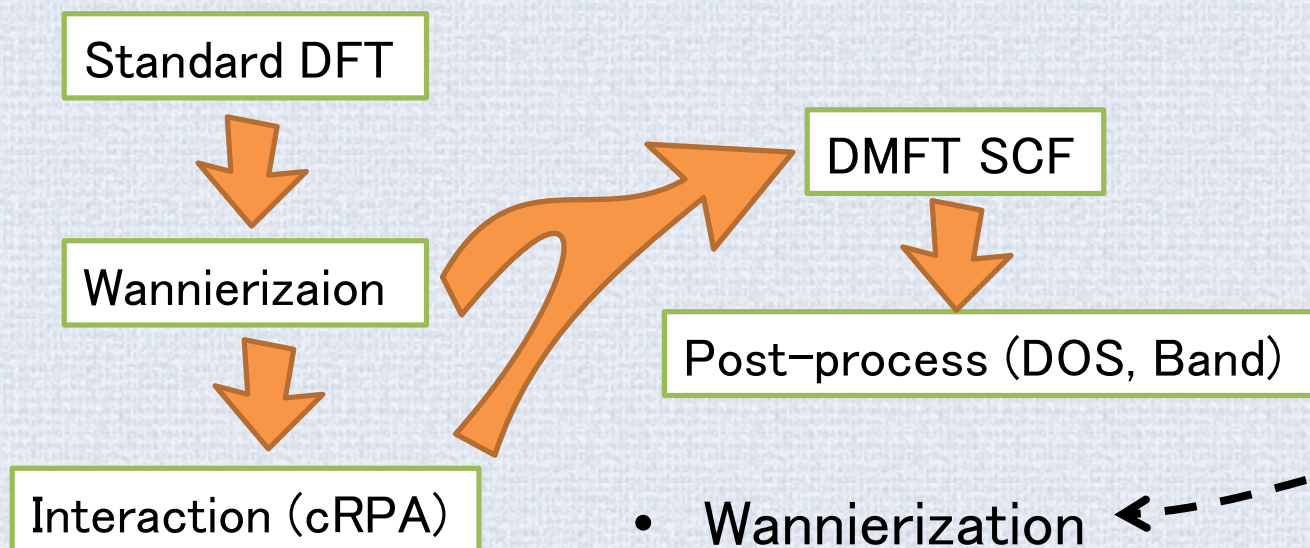
$$\rho^{(i+1)}(r) = \rho^{(i)}(r) + \sum_{\tau\alpha\beta} [\rho_{\tau,\alpha\beta}^{\text{DMFT}} - \rho_{\tau,\alpha\beta}^{\text{KS}}] w_{\tau\alpha}^*(r) w_{\tau\beta}(r)$$

- Charge non self-consistent method :

If there is no

- Magnetic order
- Orbital order

$$\rho_{\tau,\alpha\beta}^{\text{DMFT}} \approx \rho_{\tau,\alpha\beta}^{\text{KS}}$$



- Wannierization
- QMC statistics



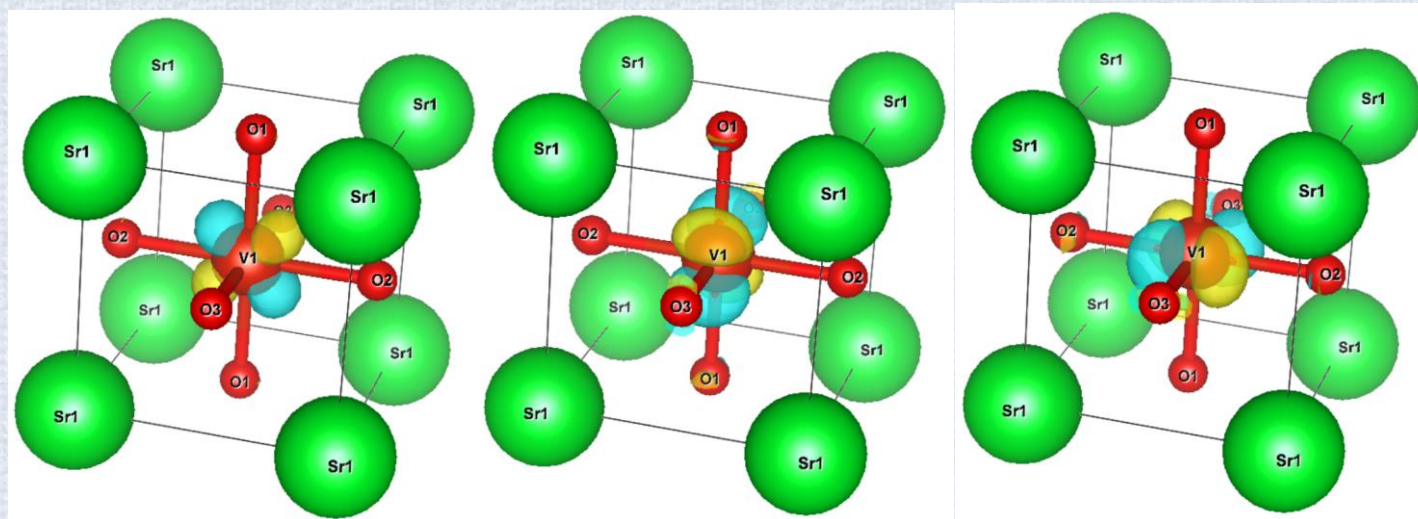
Carefully check each steps

Calculation steps

1. DFT calculation of charge density (QE: pw.x)
2. Band structure calculation (QE: pw.x, bands.x)
3. Non-SCF calculation for Wannierization (QE: pw.x, RESPACK: qe2respack.sh)
4. Wannierization (RESPACK: calc_wannier)
5. Dielectric function (RESPACK: calc_chi qw)
6. Effective interaction (RESPACK: calc_w3d, calc_j3d, DCore: respack2dcore.py)
7. DMFT calculation (DCore: dcore_pre, dcore, dcore_check)
8. DMFT post-process (DCore: dcore_post)




- Correlated metal
- V^{4+} , t_{2g}^1



1, DFT calculation of charge density

$$\left(-\frac{1}{2}\nabla^2 + V_{KS}[\rho](r)\right)\varphi_{nk}(r) = \varepsilon_{nk}\varphi_{nk}(r) \quad \rho(r) = \sum_{nk\sigma} |\varphi_{nk}(r)|^2$$

```
> cp -r /home/t0012/t001200/SrV03 .  
> cd SrV03  
> qsub 1_scf.sh
```



```
#!/bin/sh  
#QSUB -queue ccms_i18cpu  
#QSUB -node 1  
#PBS -l walltime=0:30:00  
source ~/.bashrc  
export OMP_NUM_THREADS=1  
cd $PBS_O_WORKDIR  
mpijob pw.x -npool 24 -in scf.in > scf.out
```

```
> less scf.out
```

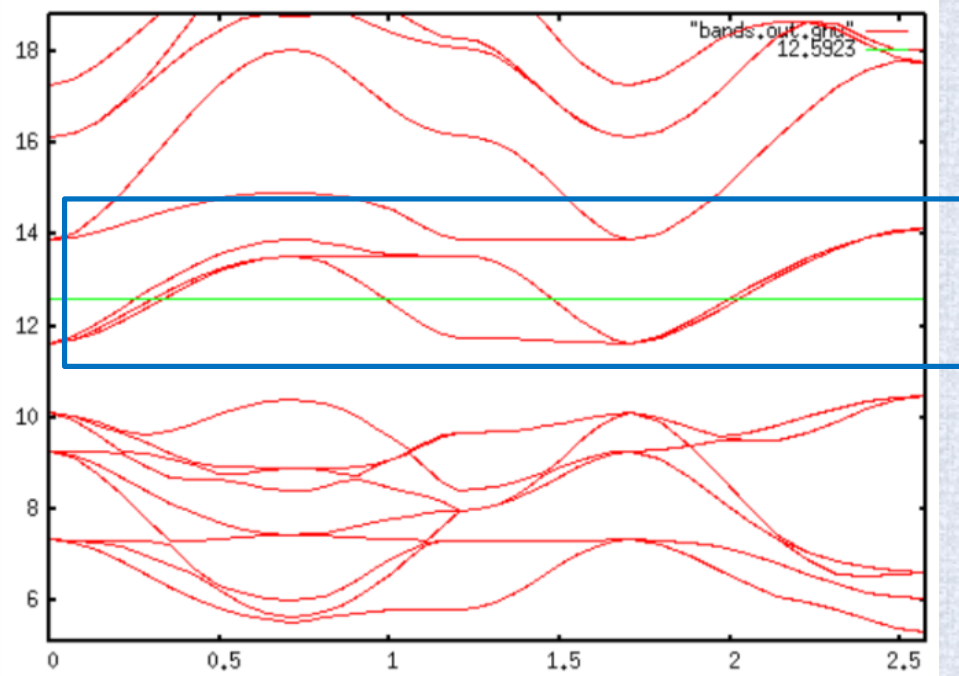
2, Band structure calculation

```
> qsub 2_band.sh
```

 ϵ_{nk}

```
mpijob pw.x -npool 24 -in band.in > band.out  
mpijob bands.x -npool 24 -in bandpp.in > bandpp.out
```


```
> grep Fermi scf.out  
the Fermi energy is 12.5923 ev  
> gnuplot  
:  
gnuplot> plot "bands.out.gnu", 12.5923
```



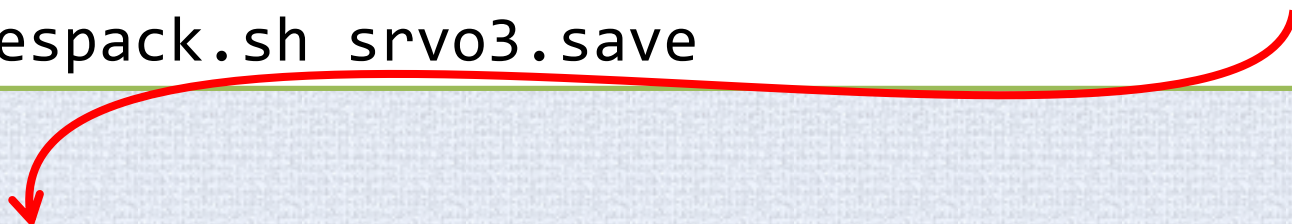
Choose “outer energy window”

3, Non-SCF calculation for Wannierization

```
> qsub 3_nscf.sh
```



```
mpijob -n 20 pw.x -npool 10 -ntg 2 -in nscf.in > nscf.out  
qe2respack.sh srvo3.save
```



```
&CONTROL  
:  
wf_collect = .true.  
/  
&SYSTEM  
:  
nbnd = 100  
/
```

$$w_n(r - R) = \sum_m U_{knm} e^{-ikR} \varphi_{nk}(r)$$

4, Wannierization (1)

```
> qsub 4_wannier.sh
```

$$w_n(r - R) = \sum_m U_{knm} e^{-ikR} \varphi_{nk}(r)$$

```
⋮
#QSUB -node 1
#QSUB -mpi 1
#QSUB -omp 24
⋮
calc_wannier < respack.in
```

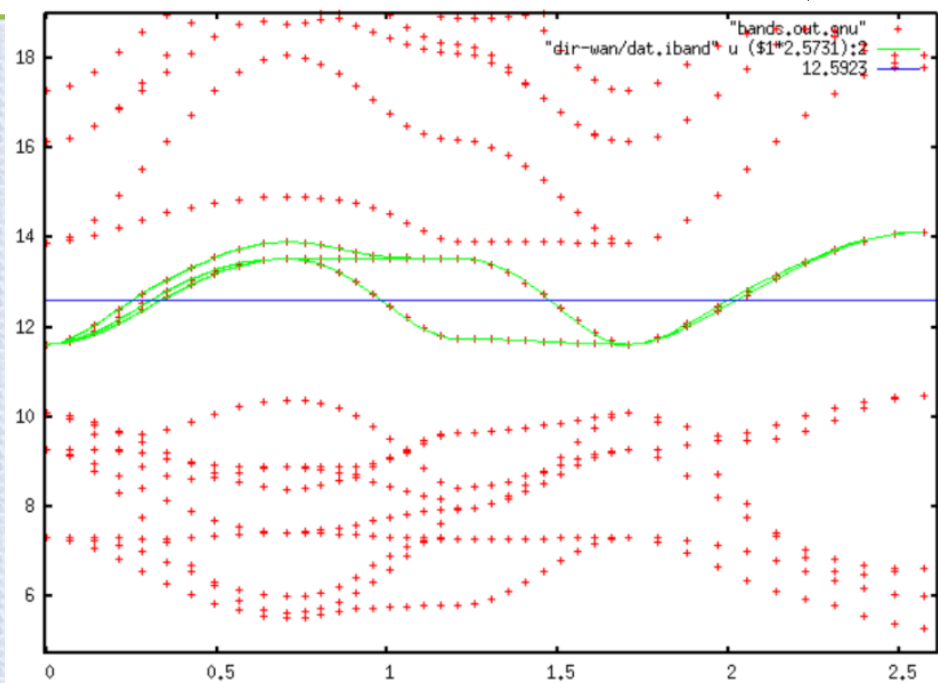
```
⋮
&PARAM_WANNIER
N_wannier = 3
Lower_energy_window = 11.0785
Upper_energy_window = 14.5440
N_initial_guess = 3
/
dxy 0.2 0.5 0.5 0.5
dyz 0.2 0.5 0.5 0.5
dzx 0.2 0.5 0.5 0.5
⋮
```

4, Wannierization (2)

```
> gnuplot
```

```
⋮
```

```
gnuplot> plot "bands.out.gnu" w p, ¥  
"dir-wan/dat.iband" u ($1*2.5731):2 w l, 12.5923
```



```
> cat dir-wan/dat.wan-center  
#Wannier center  
#1:x, 2:y, 3:z (in xyz coord)
```

```
3.6306363336
```

```
3.6306363302
```

```
3.6306363315
```

```
3.6306363315
```

```
3.6306363351
```

```
3.6306363347
```

```
3.6306363320
```

```
3.6306363315
```

```
3.6306363300
```

5, Dielectric function

$$\chi_q^0(\omega, r, r') = \sum_{knm} \frac{f(\varepsilon_{mk+q}) - f(\varepsilon_{nk})}{\varepsilon_{nk} - \varepsilon_{mk+q}} \varphi_{nk}^*(r) \varphi_{mk+q}^*(r') \varphi_{nk}(r') \varphi_{mk+q}(r)$$

$$\hat{W}_q(i\omega) = (V_{q,\text{bare}}^{-1} + \hat{\chi}_q)^{-1}$$

```
> qsub 5_chiqw.sh
```

```
⋮
#QSUB -node 3
⋮
mpijob -n 64 calc_chiqw < respack.in > chiqw.out
```

```
&PARAM_CHIQW
Num_freq_grid = 1
flg_cRPA = 1
/
⋮
```

6, Effective interaction

$$U_{mRnR'} = \iint d^3r d^3r' w_n^*(r - R) w_m^*(r' - R') W(\omega, r, r') w_m(r' - R') w_n(r - R)$$

$$J_{mRnR'} = \iint d^3r d^3r' w_n^*(r - R) w_m^*(r' - R') W(\omega, r, r') w_n(r' - R) w_m(r - R')$$

```
> qsub 6_calc_int.sh
```

```
⋮
#QSUB -node 1
#QSUB -mpi 1
#QSUB -omp 24
⋮
calc_w3d < respack.in > w3d.out
calc_j3d < respack.in > j3d.out
echo "">> dir-intJ/dat.Jmat
respack2wan90.py srvo3
```

```
⋮
&PARAM_CALC_INT
calc_ifreq = 1
ix_intJ_min = 0
ix_intJ_max = 0
iy_intJ_min = 0
iy_intJ_max = 0
iz_intJ_min = 0
iz_intJ_max = 0
/
```


7, DMFT calculation

```
> qsub 7_dcore.sh
```

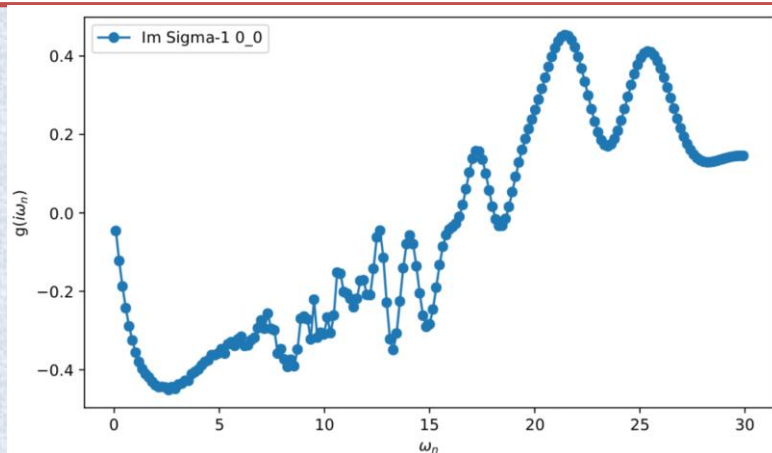
$$\Sigma_{nm}(i\omega)$$

```
mpijob -n 1 dcore_pre dcore.ini > pre.out
mpijob dcore dcore.ini > dcore.out
mpijob -n 1 dcore_check dcore.ini --output="dcore.pdf" > check.out
```

```
> less dcore.out
```

See Perturbation orders, Average sign, etc.

```
> evince dcore.pdf
```



```
:
[impurity_solver]
name = ALPS/cthyb
max_time{int} = 60
thermalization_time{int} = 30
verbosity{int} = 1
[control]
max_step = 1
restart = True
[tool]
omega_check = 30.0
```

```
> sed -i -e "/max_step/c max_step = 5" dcore.ini
> qsub 7_dcore.sh
```

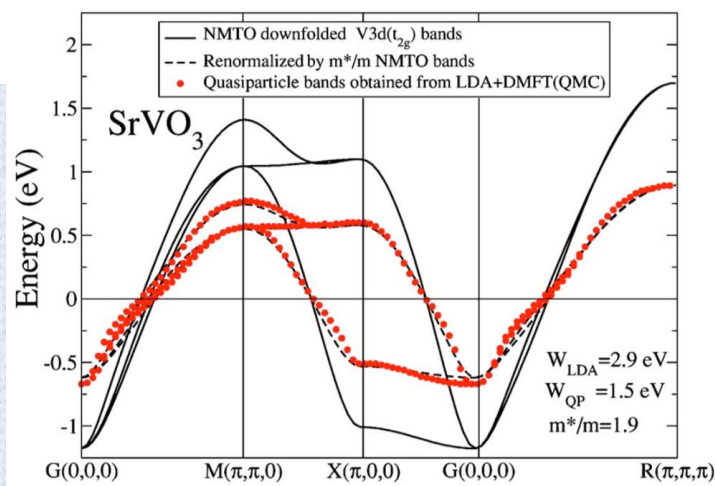
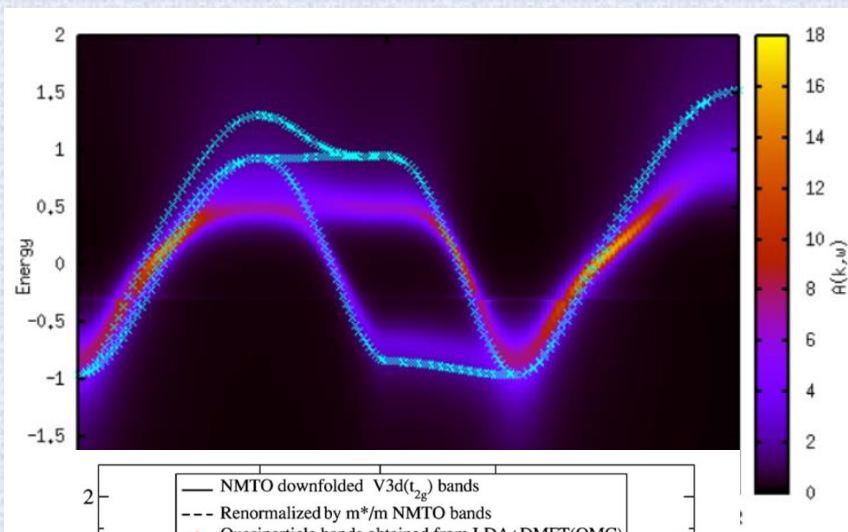
8, DMFT post-process

```
> qsub 8_post.sh
```

$$A_k(\omega)$$

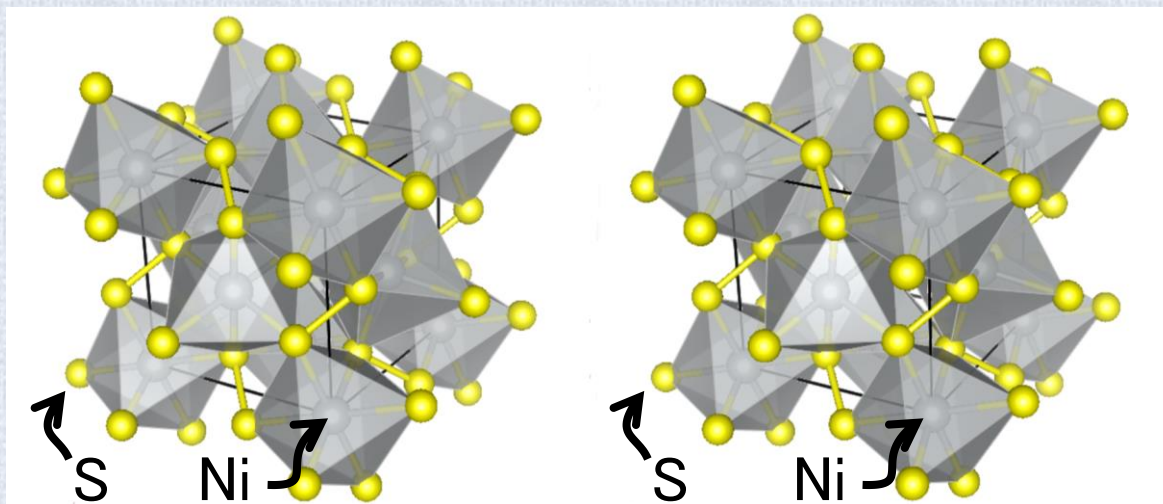
```
mpijob -n 1 dcore_pre post.ini > post.out
mpijob dcore_post post.ini >> post.out
```

```
> sed -i -e "s/every 10//g" srvo3_akw.gp
```



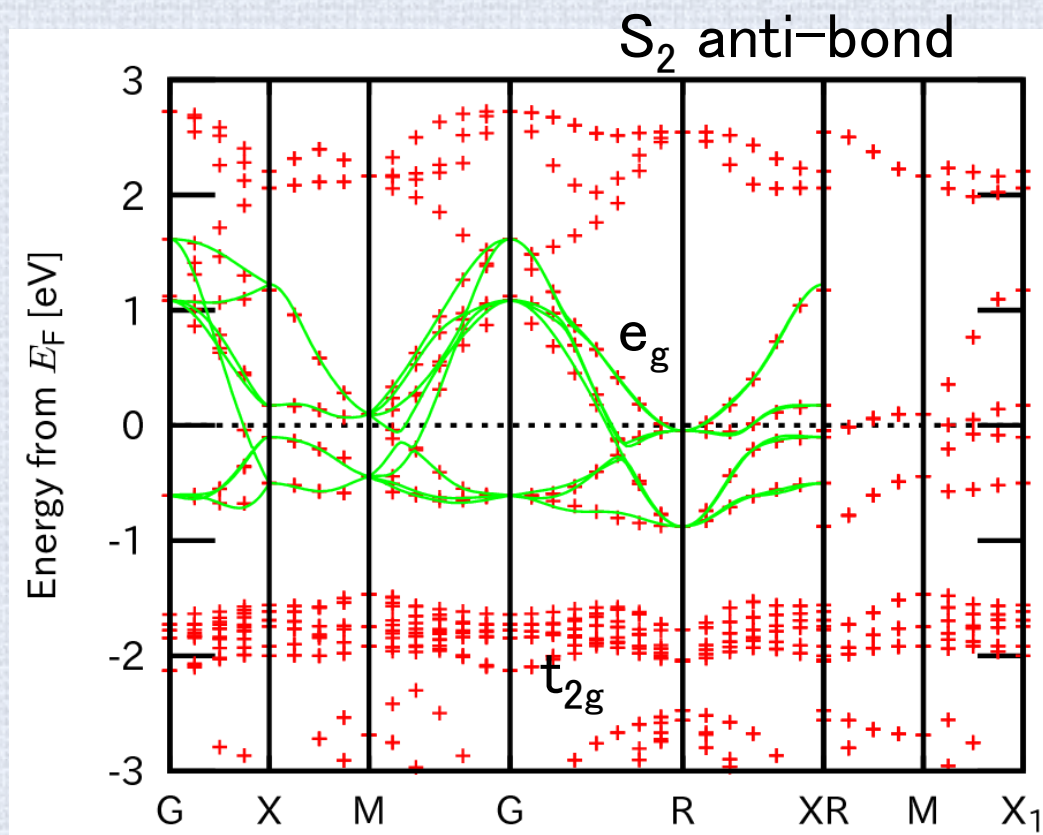
```
⋮
[system]
nk0 = 32
nk1 = 32
nk2 = 32
⋮
[tool]
broadening = 0.1
nk_line = 50
nnode = 5
knode=[(G,0.0,0.0,0.0),
(M,0.5,0.5,0.0), ...]
omega_max = 2.0
omega_min = -2.0
Nomega = 400
omega_pade = 6.0
```

TMS_2 (Pyrite structure)



- $TM^{2+}(S_2)^{2-}$
- MnS_2 (t_{2g}^5, e_g^0)
- FeS_2 (t_{2g}^6, e_g^0)
- CoS_2 (t_{2g}^6, e_g^1)
- NiS_2 (t_{2g}^6, e_g^2), Mott ins.
- CuS_2 (t_{2g}^6, e_g^3)
- ZnS_2 (t_{2g}^6, e_g^4)

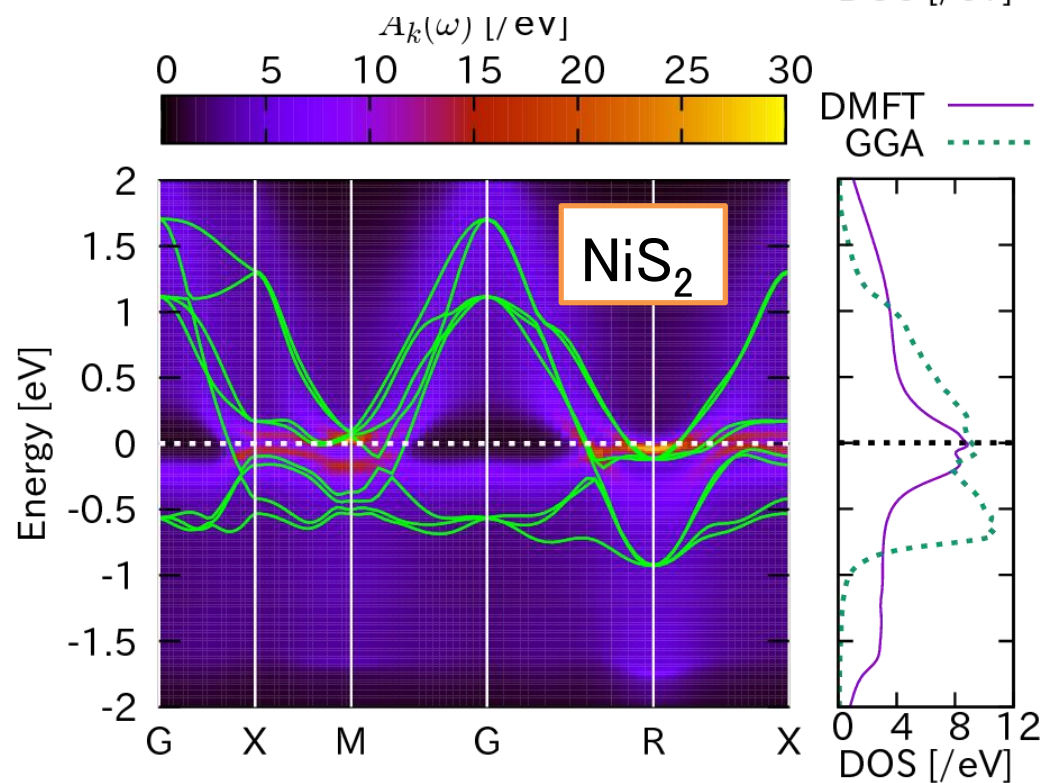
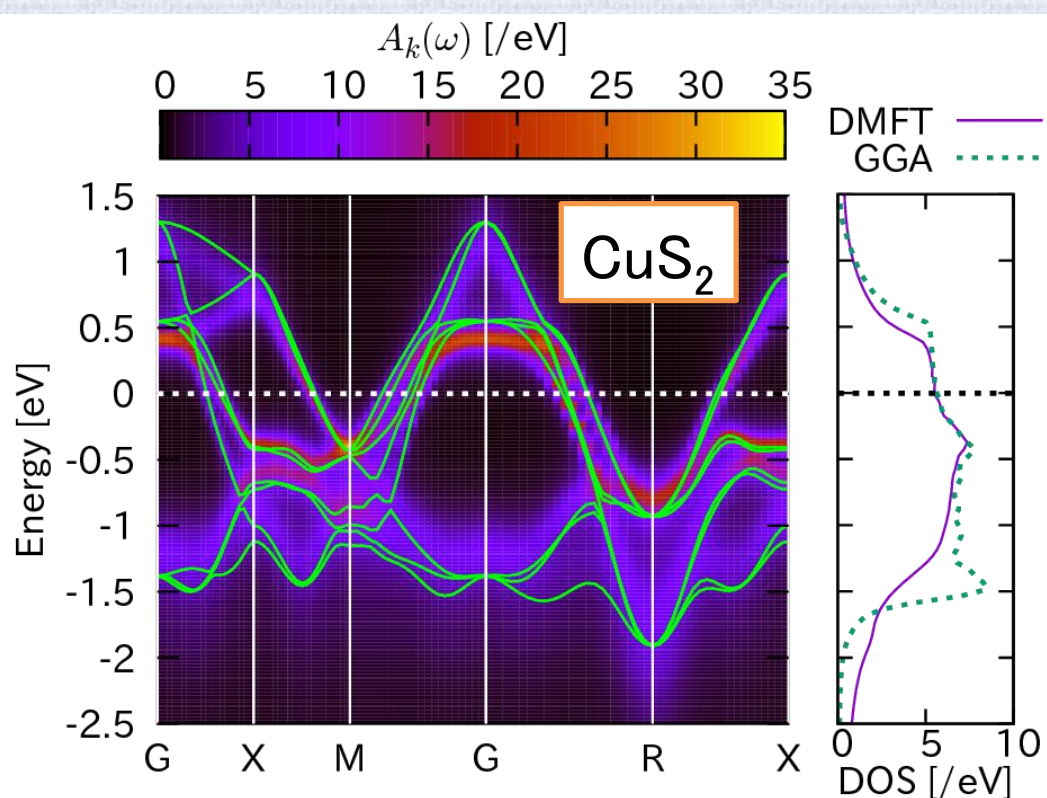
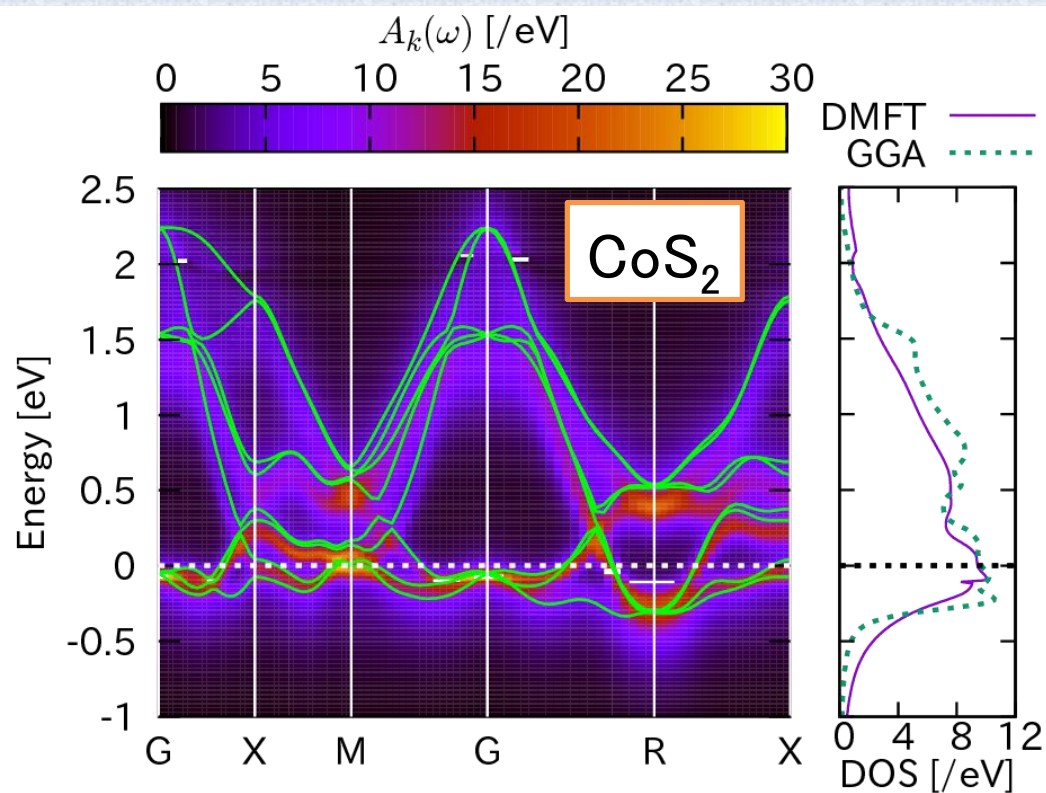
- Quantum ESPRESSO
- Norm-conserving PP (SG15)
- Cutoff(WFC): 60 Ry (CoS_2), 65 Ry(NiS_2), 90 Ry(CuS_2)
- GGA-PBE+DMFT
- cRPA(RESPACK)
- k-grid : $6 \times 6 \times 6$ (Charge), $4 \times 4 \times 4$ (Wannier, cRPA), $12 \times 12 \times 12$ (DMFT), $32 \times 32 \times 32$ (DOS)
- cRPA bands: 116(CoS_2), 120(NiS_2), 124(CuS_2)



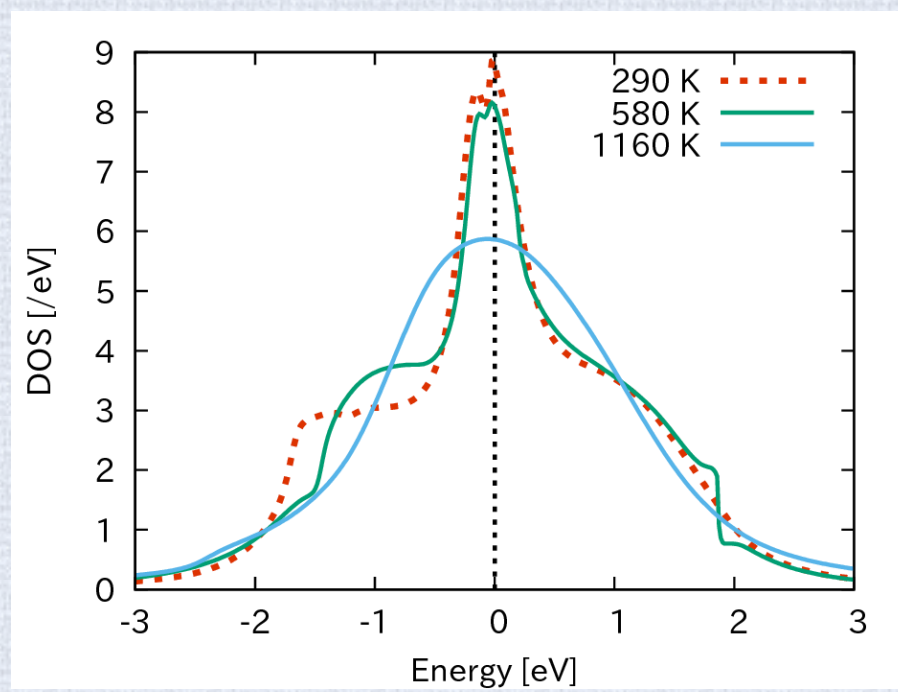
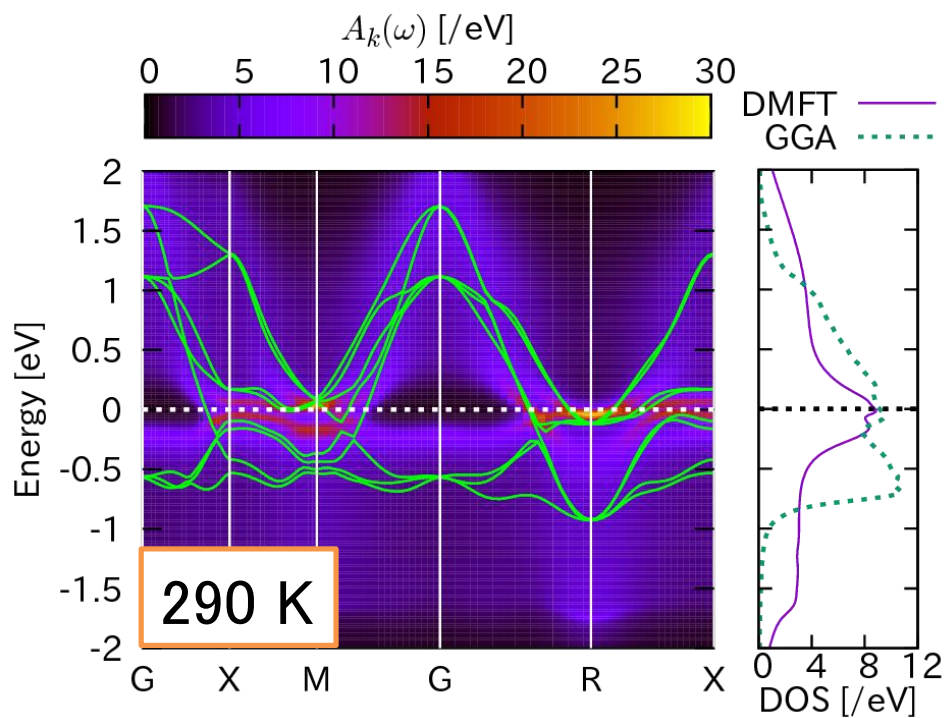
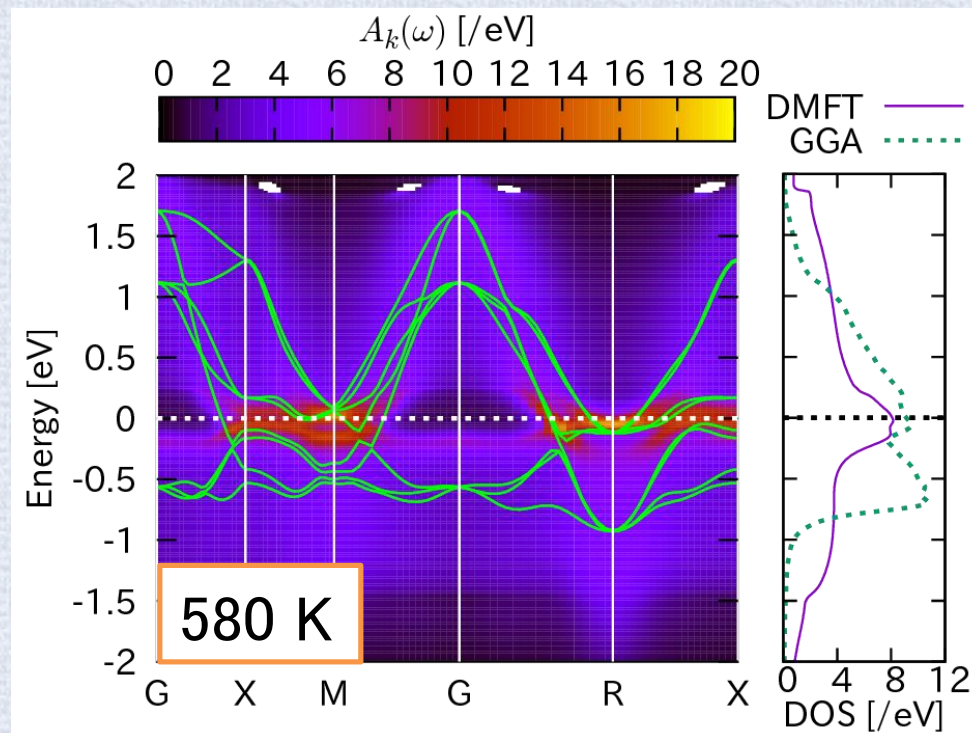
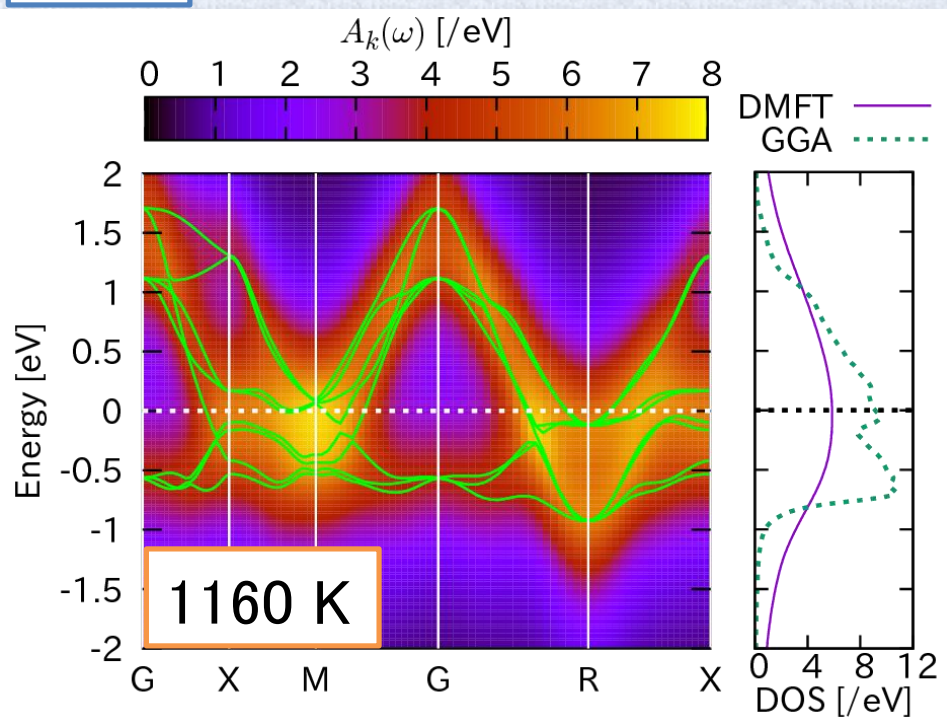
Result

[eV]	U	U'	J
CoS ₂	1.24	0.45	0.39
NiS ₂	1.43	0.69	0.37
CuS ₂	1.61	1.05	0.28

$$\beta = 40 \text{ (290 K)}$$



Result



Summary

- We overview the theory and procedure of DFT+DMFT calculation with DCore.
 1. DFT calculation of charge density
 2. Band structure calculation
 3. Non-SCF calculation for Wannierization
 4. Wannierization
 5. Dielectric function
 6. Effective interaction
 7. DMFT calculation
 8. DMFT post-process